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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box: PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

### TRANSMITTAL FOR PATENT APPLICATION

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a United States patent application entitled INTEGRAL CATHODE in the name of the following inventor: Dennis Runnoe.

Enclosed are the following:

- x   A specification, claims, abstract, and cover page in total comprising twenty-nine (29) pages.
- x   Four (4) sheets of formal drawings.
- x   A single signature Declaration, Power of Attorney and Petition.
- x   An Assignment conveying the invention to Varian Medical Systems, Inc., including a Form PTO-1595 recordation cover sheet.
- x   A Certificate of Mailing by "Express Mail" certifying a filing date by use of Express Mail Label No. EL446924515US.

08/16/00

JC907 U.S. PTO

08/16/00

JC531 U.S. PTO  
09/639684  
08/16/00

The filing fee has been calculated as shown below.

Small Entity					Other Than a Small Entity		
For	No. Filed	No. Extra	Rate	Fee	or	Rate	Fee
Basic Fee				\$380			\$760.00
Total Claims	24 - 20 =	24	x 9=	\$	or	x 18 =	\$72.00
Ind. Claims	3 - 3 =	3	x39=	\$	or	x 78 =	\$
Multiple Dependent Claims Presented			+130=	\$	or	+260=	\$
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  x   Check No. 117040 in the amount of \$872.00 is enclosed to cover:

  x   The \$832.00 government filing fee.

  x   The \$40.00 recordation fee of the enclosed assignment.

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  x   Any additional filing fees required under 37 C.F.R. § 1.16.

  x   Any patent application processing fees under 37 C.F.R. § 1.17.

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  x   Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

  x   Any patent application processing fees under 37 C.F.R. § 1.17.

x A duplicate copy of this letter is enclosed.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this 15<sup>th</sup> day of August, 2000.

Respectfully submitted,

E. C. Marshall

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Attorney for Applicant  
Registration No. 36,596

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PATENT APPLICATION  
Docket No. 14374.14



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to Box: PATENT APPLICATION, Assistant Commissioner for Patents, Washington, DC 20231:

- Patent Application in the name of Dennis Runnoe for INTEGRAL CATHODE (29 pages)
- Formal drawings (4 sheets)
- Assignment with Form PTO-1595 cover sheet (3 pages)
- Declaration, Power of Attorney and Petition (3 pages)
- Transmittal Letter (3 pages) 872.00
- Check No. 117040 for \$1,112.00
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Dated this 15<sup>th</sup> day of August, 2000

Respectfully submitted,

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PATENT APPLICATION  
WNS Docket No.:14374.14  
Varian Docket No. 99-25

**UNITED STATES PATENT APPLICATION**

of

**DENNIS H. RUNNOE**

For an

**INTEGRAL CATHODE**

WORKMAN, NYDEGGER & SEELEY  
A PROFESSIONAL CORPORATION  
ATTORNEYS AT LAW  
1000 EAGLE GATE TOWER  
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## **BACKGROUND OF THE INVENTION**

### **1. The Field of the Invention**

The present invention relates generally to x-ray tubes. More particularly, embodiments of the present invention relate to an x-ray tube cathode that integrates several x-ray tube components into a single unified assembly so as to significantly improve cathode efficiency and electron beam generations, and thereby, the overall performance of the device.

### **2. The Prior State of the Art**

X-ray producing devices are extremely valuable tools that are used in a wide variety of applications, both industrial and medical. For example, such equipment is commonly used in areas such as diagnostic and therapeutic radiology; semiconductor manufacture and fabrication; and materials analysis and testing. While used in a number of different applications, the basic operation of x-ray tubes is similar. In general, x-rays, or x-ray radiation, are produced when electrons are produced, accelerated, and then impinged upon a material of a particular composition.

Typically, this process is carried out within an evacuated enclosure, or "can." Disposed within the can is an electron generator, or cathode, and a target anode, which is spaced apart from the cathode. In operation, electrical power is applied to a filament portion of the cathode, which causes electrons to be emitted. A high voltage potential is then placed between the anode and the cathode, which causes the emitted electrons accelerate towards a target surface positioned on the anode. Typically, the electrons are "focused" into a primary electron beam towards a desired "focal spot" located at the target surface. In addition, some x-ray tubes employ a deflector device to control the

1 direction of the primary electron beam. For example, a deflector device can be a  
2 magnetic coil disposed around an aperture that is disposed between the cathode and the  
3 target anode. The magnetic coil is used to produce a magnetic field that alters the  
4 direction of the primary electron beam. The magnetic force can thus be used to  
5 manipulate the direction of the beam, and thereby adjust the position of the focal spot on  
6 the anode target surface. A deflection device can be used to control the size and/or shape  
7 of the focal spot.

8 During operation of an x-ray tube, the electrons in the primary electron beam  
9 strike the target anode surface (or focal track) at a high velocity. The target surface on  
10 the target anode is composed of a material having a high atomic number, and a portion of  
11 the kinetic energy of the striking electron stream is thus converted to electromagnetic  
12 waves of very high frequency, i.e., x-rays. The resulting x-rays emanate from the target  
13 surface, and are then collimated through a window formed in the x-ray tube for  
14 penetration into an object, such as a patient's body. As is well known, the x-rays can be  
15 used for therapeutic treatment, or for x-ray medical diagnostic examination or material  
16 analysis procedures.

17 As suggested above, the typical x-ray tube includes a filament portion, or emitter,  
18 that emits electrons by the process of thermionic emission. In particular, it is a  
19 characteristic of the emitter that, when heated, as by the passage of an electrical current  
20 therethrough, it emits a cloud of electrons. The emitted electrons, in turn, are focused  
21 into a beam of a desired diameter, directed at the target surface of the target anode. In the  
22 cathodes of known x-ray devices, the focusing process involves substantially enclosing  
23 the emitter with a structure defining an opening, or focusing slot, having a desired  
24 geometry, so as to allow only a portion of the emitted electrons through the focusing slot.

1 In typical x-ray tubes, the electron emission and focusing functions are performed by an  
2 assembly comprising as many as eleven different parts.

3 For example, some known x-ray tubes typically employ, in addition to the emitter,  
4 means for isolating the emitter, as well as a structure for attaching the emitter to the  
5 cathode. In similar fashion, the emitted electrons are typically focused into a beam by an  
6 assembly that includes at least a focusing cup, focusing slots, and focusing tabs.

7 The large number of parts in the assemblies typically employed to perform the  
8 emission and focusing functions of the x-ray tube produces a variety of undesirable  
9 consequences. For example, the cost of such assemblies is necessarily higher than it  
10 would otherwise be in view of the large number of small parts that must be separately  
11 manufactured. It likewise follows that assembly costs for such devices are  
12 correspondingly higher, in view of the large number of parts comprising the assembly  
13 and the numerous operations required to assemble those parts.

14 Another problem with the use of assemblies employing multiple parts relates to  
15 the inevitable inaccuracies and errors that result during production of those parts. As is  
16 well known, various parameters of manufactured parts are allowed to vary within a  
17 permissible range. This range is typically referred to as the "tolerance" for that part.  
18 Electron emitting and focusing assemblies comprising multiple parts, each with its own  
19 range of tolerances, are problematic because while the parameters of a single part may be  
20 within an acceptable range, the cumulative effect of assembling a variety of parts, each of  
21 whose tolerances is allowed to vary, is that the integrity and/or performance of the x-ray  
22 device as a whole may be significantly compromised.

23 Furthermore, the use of multiple parts in assembling the emission and focusing  
24 structures of the typical x-ray tube greatly increases the opportunity for part combinations



1 to fail either during manufacture or during operation of the x-ray device. That is, each  
2 connection between parts represents a potential failure point for the device.

3 Other significant problems in known x-ray tubes concern the characteristics of  
4 particular emitters, the geometry of the emitter, and the geometry of the components used  
5 to focus the emitted electrons, and the implications that those various geometries have for  
6 the overall performance of the x-ray device.

7 As discussed elsewhere herein, x-ray devices employ emitters that discharge  
8 electrons by a process generally known as thermionic emission. Each emitter has a  
9 characteristic often referred to as its "perveance." Specifically, the perveance of a  
10 particular emitter is related to the number of electrons discharged by an emitter and  
11 received at a target anode disposed a given distance away from the emitter. In general, a  
12 given target anode receives relatively more electrons from an emitter having a relatively  
13 higher perveance than from an emitter with a relatively lower perveance, i.e., the  
14 perveance value of a given emitter is proportional to the number of electrons discharged  
15 by that emitter and received at the target anode.

16 It is generally acknowledged that diagnostic image quality is at least partially a  
17 function of the number of electrons that impinge upon the target surface of the target  
18 anode, so that, in general, the more electrons that reach the target surface, the better the  
19 resulting image. The performance of a particular emitter can thus be evaluated in terms  
20 of the efficiency of that emitter, where the efficiency of the emitter is defined as the  
21 number of electrons impinging upon the target surface of the target anode, i.e., the  
22 perveance of the emitter, as a percentage of the total number of electrons discharged by  
23 the emitter. In general then, image quality improves as the efficiency of the emitter  
24 increases.

1 While the quality of the images generated by an x-ray device is to a large extent a  
2 function of emitter efficiency, it is also well understood that the quality of the diagnostic  
3 images additionally depends on the pattern, or focal spot, created by the emitted electrons  
4 on the target surface of the target anode. In general, smaller focal spots tend to produce  
5 better quality images than do larger, more diffuse focal spots.

6 In view of the foregoing principles, a variety of attempts have been made to  
7 improve emitter efficiency and to concentrate the electrons discharged from the emitter  
8 so that the electron beam thus formed is highly focused at the point where it impacts the  
9 target anode. As discussed in further detail below however, emitter efficiency and focal  
10 spot size are closely related, and success in improving one has typically been achieved  
11 only at the expense of the other.

12 In general, attempts to concentrate emitted electrons into a focused beam have  
13 placed emphasis on development of various geometries designed to enclose a portion of  
14 the emitter so that electrons that are free to leave the emitter do so in a defined pattern.  
15 The configuration typically employed in known x-ray tubes generally includes a long,  
16 slender emitter made of tungsten or similar material, substantially enclosed by a  
17 rectangular or box-shaped focusing assembly that defines a small opening, or focusing  
18 slot. While a rod-shaped emitter discharges uniform numbers of electrons radially in all  
19 directions, only those electrons that are able to pass through the focusing slot reach the  
20 target surface of the target anode. That is, the shapes of the emitter and focusing slot are  
21 not complementary, but rather are arranged so that the direction of travel, or velocity  
22 vectors, of the majority of the emitted electrons is generally not in the primary beam  
23 direction. Such arrangements, while producing a relatively focused beam of electrons,  
24 are nevertheless inefficient in that relatively few of the emitted electrons impinge upon

1 the target surface of the target anode. As previously noted, diagnostic image quality is  
2 compromised by inefficient emitters.

3 Accordingly, the focusing slot must be sufficiently large to pass enough electrons  
4 to achieve a desirable emitter efficiency. As discussed below however, increasing the  
5 size of the focusing slot introduces at least one significant problem.

6 As noted earlier, the emitters typically employed in know x-ray devices tend to  
7 discharge a large number of electrons whose velocity vectors are not in the desired  
8 direction of the electron beam. Rather, many of these electrons travel only in the general  
9 direction of the target surface of the target anode, along paths that are divergent from the  
10 primary beam direction. As a result, the pattern defined on the target surface of the target  
11 anode, i.e., the focal spot, is larger than it would be if the majority of the electrons  
12 traveled in the primary beam direction. Thus, while relatively larger focusing slots  
13 facilitate some improvement in emitter efficiency, they also result in larger focal spots  
14 which compromise the quality of the diagnostic images produced by the x-ray device.

15 Those skilled in the art are aware of the tension between focal spot size and  
16 emitter efficiency. As a result, at least one attempt has been made to resolve the problem.  
17 However, as discussed below, this attempted resolution fails to adequately address the  
18 problems enumerated herein.

19 In particular, a focusing element has been developed that does not substantially  
20 enclose the emitter, but rather assumes the shape of the high voltage field contours  
21 present in the x-ray device in an attempt to direct emitted electrons in a narrow beam  
22 towards the target surface of the target anode. While such a focusing element arguably  
23 improves emission efficiency by allowing more electrons to reach the target surface of  
24 the target anode, the focal spot produced by the emitted electrons becomes larger and

1 consequently more diffuse, thereby compromising the quality of the images produced by  
2 the device.

3       Additionally, because the emitter and the focusing element have different  
4 electrical continuities, an irregularity is typically formed in the high voltage field  
5 contours. As a result of the irregularity in the high voltage field contours, a significant  
6 portion of the electrons discharged by the emitter diverge from the primary beam  
7 direction. Consequently, the overall diameter of the electron beam produced by this  
8 arrangement is relatively larger than would otherwise be the case, and thereby results in a  
9 correspondingly larger focal spot on the target anode. Such a result further exacerbates  
10 the focal spot problems imposed by the geometry of this focusing element. Finally, the  
11 complex shape of such focusing elements makes them difficult to machine, and therefore,  
12 very expensive.

13       Not only are known emitter and focusing element geometries inherently limited in  
14 terms of their ability to produce optimum focal spots, but the inadequacies of those  
15 geometries are further aggravated by changes that can occur in the spacing between the  
16 anode and the cathode. In particular, because those geometries tend to produce a  
17 relatively more diffuse electron beam, any change in the spacing between the anode and  
18 the cathode tends to exacerbate that effect and thereby causes the beam to become more  
19 diffuse. As discussed elsewhere herein, such diffuse beams produce large focal spots that  
20 are not conducive to high quality images. Because the distance between the anode and  
21 the cathode may vary during operation of the x-ray device, the sensitivity of known  
22 emitter and focusing element geometries to such variations is a significant limitation.

23       Finally, at least one other limitation imposed by known emitter and focusing  
24 element geometries concerns changes in the beam current of the device. In general,

1 “beam current” refers to the amount of current flow, or the number of electrons, traveling  
2 from the emitter to the anode. Changes in the beam current, such as may be required for  
3 various different types of exposures, tend to increase or decrease the size of the focal spot  
4 produced by the beam. For example, a relative increase in beam current increases the  
5 size of the focal spot produced by the beam. The phenomenon is particularly  
6 problematic where, as in the case of typical x-ray devices, the emitter and focusing  
7 element arrangement is such that many of the electrons in the electron beam travel along  
8 paths divergent from the primary beam direction and thus tend to contribute to relatively  
9 larger focal spots.

10 As discussed elsewhere herein, a large focal spot is undesirable. However, while  
11 a reduction in beam current would produce a smaller focal spot, a relatively lower level  
12 of beam current may not be appropriate or adequate in some applications. Thus, in  
13 known x-ray devices, the size of the focal spot is highly sensitive to changes in beam  
14 current. Such changes in beam current are commonly known as “blooming.” Blooming  
15 is undesirable because it tends to compromise the quality of the images produced by the  
16 device and/or it compromises the flexibility of the device.

17 In addition to the shortcomings of known focusing element and emitter  
18 geometries, the cathode support structures typically employed in x-ray devices are  
19 problematic as well. In particular, known cathode support structures are problematic at  
20 least because they employ a large number of separate parts that must be separately  
21 manufactured and assembled. The use of a large number of parts necessarily implicates  
22 relatively higher assembly and production costs than would otherwise be the case. For  
23 example, a typical cathode support structure includes such components as filament lead  
24 ceramics, a cathode cup, a filament lead cathode cup mounting arrangement, and the

1 filament-to-cup attachment mechanism. As suggested elsewhere, such a multiplicity of  
2 parts, in addition to imposing relatively higher manufacturing costs, also introduces  
3 numerous potential failure points in the x-ray device.

4 In view of the foregoing problems and shortcomings with the existing x-ray tube  
5 cathodes, it would be an advancement in the art to provide a cathode, and associated  
6 cathode support structure, that is simple and relatively inexpensive to manufacture. Also,  
7 the cathode should be highly efficient in terms of electron emission and should produce a  
8 focal spot that is substantially insensitive to changes in operating conditions such as  
9 anode-to-cathode spacing, or variations in beam current.



1 partially a function of the length of the electrical path defined by the emitter and the  
2 power applied to the emitter.

3 By virtue of the emitter's shape, electrons discharged along all portions of the  
4 concave surface of the parabolic arc described by the emitter are naturally directed  
5 inwardly along convergent paths leading to the target surface of the target anode. Thus,  
6 the shape of the emitter has the effect of concentrating the discharged electrons into an  
7 electron beam directed at the target surface. Because the surface of the emitter from  
8 which electrons are discharged is oriented in the primary beam direction, relatively few  
9 of the discharged electrons stray from the primary beam direction, and the diameter of the  
10 beam thus generated is correspondingly small. Consequently, the electron beam  
11 produces a relatively small focal spot which is relatively insensitive to changes in spacing  
12 between the anode and cathode and/or changes in beam current. Finally, the tendency of  
13 discharged electrons to travel primarily in the primary beam direction permits the  
14 focusing slot defined by the support cartridge to be relatively large, thereby enhancing the  
15 efficiency of the emitter by permitting relatively more discharged electrons to pass to the  
16 target surface of the target anode.

17 These and other objects and features of the present invention will become more  
18 fully apparent from the following description and appended claims, or may be learned by  
19 the practice of the invention as set forth hereinafter.  
20  
21  
22  
23  
24



## BRIEF DESCRIPTION OF THE DRAWINGS

In order to more fully understand the manner in which the above recited and other advantages and objects of the invention are obtained, a more particular description of the invention will be rendered by reference to specific embodiments thereof which are illustrated in the appended drawings. It will be appreciated that the drawings are not necessarily drawn to scale, and that they are intended to depict only the presently preferred and best mode embodiments of the invention, and are not to be considered to be limiting of the scope of the invention.

Figure 1 illustrates an embodiment of an integral cathode and its relation to other components of the x-ray tube;

Figure 2A is a top view looking downwards to the emitting surface of an embodiment of an integral cathode;

Figure 2B is a cross-section view taken along line AA of Figure 2A, and indicating various structural details of an embodiment of the integral cathode;

Figure 2C is a cross-section view, generally oriented along line AA of Figure 2A, of an alternative embodiment of the integral cathode; and

Figure 3 is a cross-section view taken along line AA of Figure 2A, indicating the disposition of an embodiment of the integral cathode, and further indicating the operational relationship of the integral cathode with respect to the target surface of a target anode.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Reference will now be made to figures wherein like structures will be provided with like reference designations. It is to be understood that the drawings are diagrammatic and schematic representations of various embodiments of the invention, and are not to be construed as limiting the present invention, nor are the drawings necessarily drawn to scale.

In general, the present invention relates to an integral cathode for use in x-ray tubes. Figures 1 through 3 indicate various embodiments of an integral cathode conforming to the teachings of the invention.

Reference is first made to Figure 1, which depicts an x-ray tube indicated generally as 100. X-ray tube 100 includes a vacuum enclosure 102, and disposed inside vacuum enclosure 102 are a target anode 104, and an integral cathode, indicated generally at 200. In operation, electrical power is applied to integral cathode 200, which causes a beam of electrons, indicated at *e*, to be emitted by thermionic emission. A potential difference is applied between integral cathode 200 and target anode 104, which causes electrons *e* emitted by integral cathode 200 to accelerate and impinge upon a focal spot location 106 on the target anode 104. A portion of the resulting kinetic energy is released as x-rays, indicated at *x*, which are then emitted through window 108 and into, for example, the body of a patient.

With reference now to Figure 2A, further details regarding the construction of integral cathode 200 are provided. In general, integral cathode 200 includes an emitter 202 mounted in a support cartridge 204. Preferably, a plurality of slots 206 are defined in emitter 202. As suggested in Figure 2A, slots 206 cooperate with each other to define a continuous S-shaped electrical current path. In operation, an electrical current *I* is caused

1 to flow from electrical power source 207 to end a of emitter 202, and thence to end b of  
2 emitter 202 along the electrical current path defined by slots 206. As a result of the  
3 electrical current thus applied, electrons are discharged from emitter 202 by the process  
4 of thermionic emission.

5 In a preferred embodiment, the emissive material employed for use as emitter 202  
6 preferably comprises a refractory metal such as tungsten. In general, a refractory metal  
7 with a melting point of about 2,400° to 2,500° Centigrade or above is preferred.  
8 However, it will be appreciated that a wide variety of metals and/or combinations thereof  
9 may profitably be employed in this application. Accordingly, any materials or  
10 combinations thereof providing the functionality disclosed herein, are contemplated as  
11 being within the scope of the present invention. As the malleability of emitter element  
12 202 is also an important consideration in the construction and assembly of integral  
13 cathode 200, emitter 202 is preferably doped with rhenium or the like.

14 Preferably, support cartridge 204 is composed of an electrically non-conductive  
15 material that will, with the exceptions disclosed herein, electrically isolate emitter 202  
16 from the structure and/or other components of the x-ray device. Such materials  
17 contemplated by the present invention include, but are not limited to, ceramics and the  
18 like. Note however, that electrically conductive materials including, but not limited to,  
19 iron or the like, may also be employed, provided that the electrical conductivity of the  
20 material employed is sufficiently neutralized so as to foreclose material impairment of the  
21 operation of emitter 202, as disclosed herein. The electrical conductivity of such  
22 materials may be neutralized in a number of ways including, but not limited to,  
23 cataphoretically coating the emitter material or a portion thereof with one or more  
24 electrically non-conductive materials, or combinations thereof. Generally, any material

1 providing the functionality, as disclosed herein, of support cartridge 204 is contemplated  
2 as being within the scope of the present invention.

3 With continuing reference now to Figure 2A, it will be appreciated that the  
4 geometry of emitter 202 may be varied in any number of ways so as to facilitate  
5 achievement of a desired emissive effect. In particular, it will be appreciated that by  
6 varying one or more parameters pertaining to the length of the electrical current path  
7 defined by slots 206 in emitter 202, such parameters including, but not limited to, the  
8 number, shape, size, and arrangement of slots 206, a desired emissive effect may thereby  
9 be achieved. It will likewise be appreciated that the thickness  $t$  of emitter 202 may be  
10 varied to the same end. Note that slots 206 represent but one type of cut out portion  
11 adapted to define the aforesaid electrical current path. For example, slots 206 could be  
12 replaced with a plurality of overlapping holes. It will thus be appreciated that various  
13 shapes, sizes, numbers and arrangements of cutout portions may be combined in any of a  
14 number of ways so as to define a particular electrical current path and thereby facilitate  
15 achievement of a desired emissive effect.

16 It will further be appreciated that the emissive effects achieved with emitter 202  
17 may be desirably varied in a number of other ways as well. As one example, the amount  
18 of electrical current  $I$  applied to emitter 202 has a correlative effect on the number of  
19 electrons emitted therefrom. Thus, the electrical current  $I$  may be varied to the extent  
20 necessary to achieve a desired emissive effect from emitter 202.

21 Finally, as discussed above and elsewhere herein, there are a wide variety of  
22 variables, parameters and the like that may be adjusted or varied so as to achieve a  
23 desired emissive effect. It will be appreciated that these variables and parameters may be  
24

1 adjusted or varied either alone and/or in various combinations with each other so as to  
2 achieve one or more desired emissive effects.

3 With reference now to Figure 2B, and with continuing reference to Figure 2A,  
4 additional details regarding the elements and construction of integral cathode 200 are  
5 indicated. As indicated in Figure 2B, a preferred embodiment of integral cathode 200  
6 comprises only two parts. As a result, the problems associated with tolerance stacking,  
7 discussed in detail elsewhere herein, are substantially eliminated by integral cathode 200.  
8 In this regard, at least, integral cathode 200 represents a significant improvement over  
9 known cathodes which typically employ a multiplicity of parts.

10 Directing attention now to the specific elements of integral cathode 200, support  
11 cartridge 204 includes two opposing retaining arms 204A which cooperate with each  
12 other to define a slot 208, as indicated in Figure 2B. Generally however, any structure or  
13 structures providing the functionality of support cartridge 204 and/or its constituent  
14 elements, as disclosed herein, is contemplated as being within the scope of the present  
15 invention.

16 The minimal number of parts employed in the construction of integral cathode  
17 200 greatly simplifies the assembly process. In particular, integral cathode 200 is  
18 preferably assembled by disposing emitter 202 in the position indicated by the dashed  
19 lines in Figure 2B and then exerting a downward force on emitter 202 until the edges of  
20 emitter 202 become lodged in retaining arms 204A. Thus, the downward force  
21 cooperates with retaining arms 204A to deform emitter 202 into a desired configuration.  
22 The retaining arms 204A cooperate with each other to maintain emitter 202 in that  
23 desired configuration. In a preferred embodiment, the emitter is deformed by support  
24 cartridge 204 so that a bend is defined in the emitter. Preferably, the width of emitter

1 202 is greater than the width of the cavity defined by support cartridge 204 so as to  
2 facilitate achievement of the desired emitter configuration. Support cartridge 204 thus  
3 serves at least the purposes of providing structural support for emitter 202, defining a  
4 desired configuration for emitter 202, and maintaining emitter 202 in the defined  
5 configuration.

6 In an alternative embodiment, support cartridge 204 does not define the  
7 configuration of emitter 202, but rather serves solely as a foundation or base therefore,  
8 that is, to provide structural support for emitter 202. This alternative embodiment of  
9 support cartridge 204 is particularly well-adapted for emitters whose shape has been  
10 defined prior to the emitter being joined to support cartridge 204. One example would be  
11 a bowl-shaped emitter, wherein the emitter is formed into a bowl shape during  
12 construction and is subsequently attached to support cartridge 204.

13 With continuing attention now to Figure 2B, the bend imposed in emitter 202 by  
14 support cartridge 204 preferably describes a portion of a parabola, i.e., a parabolic arc,  
15 when viewed in cross-section. It will be appreciated however, that a variety of other  
16 geometries may be employed to provide the functionality of emitter 202, as disclosed  
17 herein. Other emitter geometries contemplated as being within the scope of the present  
18 invention include, but are not limited to, a bend that describes an arc of a circle, angular  
19 bends such as one substantially in the shape of a "V", or any geometry that includes two  
20 or more non-parallel emitting surfaces directed at least partially towards the target anode  
21 so that electrons discharged from the emitting surfaces will converge at a focal spot to  
22 form an electron beam. Such surfaces may be disposed in various configurations,  
23 including, but not limited to, configurations wherein the emitting surfaces are adjacent to  
24 each other, or opposite each other.

1 It will likewise be appreciated that emitters that describe segments of three  
2 dimensional shapes, spheres for example, would likewise provide the functionality  
3 disclosed herein, such emitters are accordingly contemplated as being within the scope of  
4 the present invention. One example of such a segment would be a bowl-shaped emitter,  
5 wherein the concave surface of the emitter is directed towards the target anode.

6 After emitter 202 has been positioned in support cartridge 204, slots 206 (see  
7 Figure 2A) are cut in emitter 202. In a preferred embodiment, at least the insertion of  
8 emitter 202 into support cartridge 204 is accomplished in an automated fashion, such as  
9 by a robot or the like. Likewise, slots 206 are preferably cut by a robotically controlled  
10 laser or the like. In an alternative embodiment, all of the assembly steps are at least  
11 partially performed by a robot.

12 Preferably, electrical connections required to facilitate the flow of current  $I$   
13 through emitter 202 are attached to emitter 202 by a laser welding operation. It will be  
14 appreciated, however, that a variety of other attachment methods could be used. Such  
15 other attachment methods include, but are not limited to, tungsten inert gas welding or the  
16 like, and are accordingly contemplated as being within the scope of the present invention.  
17 It will likewise be appreciated, that the assembly of integral cathode 200 can be  
18 effectuated by a variety of other assembly methods. Accordingly, those other methods  
19 are contemplated as being within the scope of the present invention. Such other methods  
20 include, but are not limited to, cutting slots 206 in emitter 202 prior to pressing emitter  
21 202 into support cartridge 204.

22 With reference now to Figure 2C, while one embodiment of emitter 202  
23 comprises a single piece of material, emitter 202 may alternatively comprise a plurality of  
24 electron sources, or subsidiary emitting portions 202', collectively arranged in a

1 configuration that would provide the functionality of emitter 202, as disclosed herein.  
2 Such an arrangement would obviate the need for retaining arms 204A and may actually  
3 improve the efficiency of emitter 202 by eliminating any electron blocking effect  
4 imposed by retaining arms 204A.

5 Further, subsidiary emitting portions 202' may all consist of the same material, or  
6 alternatively, different subsidiary emitting portions 202' may be composed of different  
7 materials. As one example of this alternative embodiment, an emitter could be  
8 constructed of three subsidiary emitting portions 202' wherein a central subsidiary  
9 emitting portion 202' is composed of one material, and subsidiary emitting portions 202'  
10 disposed on either side of the central subsidiary emitting portion 202' are composed of  
11 another material. It will be appreciated that the number and composition of the  
12 subsidiary emitting portions 202' may be varied as required to achieve a desired emissive  
13 effect. It will likewise be appreciated that while the geometry of subsidiary emitting  
14 portions 202' is indicated in Figure 2C as being generally planar, subsidiary emitting  
15 portions 202' may also be formed so as to describe curves or bends, as discussed  
16 elsewhere herein.

17 In this alternative embodiment, each of the subsidiary emitting portions may be  
18 supplied by its own dedicated source of electrical power, or alternatively, the emitting  
19 portions may all be supplied by a single source of power. As suggested elsewhere herein,  
20 the power supplied to one or more of the emitting portions may be varied as required to  
21 achieve one or more desired emissive effects.

22 Directing attention now to Figure 3, additional details regarding the operation of  
23 integral cathode 200 are indicated. In particular, application of an electrical current  $I$  to  
24 emitter 202 causes electrons  $e$  to be discharged from emitter 202, by way of the



1 thermionic emission process described elsewhere herein. As suggested in Figure 3, it is a  
2 natural consequence of the parabolic shape of emitter 202 that a substantial number of the  
3 electrons  $e$  discharged from emitter 202 are directed along velocity vectors that converge  
4 with each other. It is thus a valuable feature of the present invention that emitter 202  
5 serves to focus the discharged electrons  $e$  without the use of structures such as are  
6 employed in known cathodes. The converging electrons  $e$  form a focal spot and an  
7 electron beam having a diameter  $d$ . Preferably, focal spot  $i$  is formed at focal spot  
8 location 106 of target anode 104. Finally, the impact of electrons  $e$  on focal spot location  
9 106 causes the emission of x-rays, which in the illustration would be oriented in a  
10 direction generally leaving the page towards the reader. For at least the reasons discussed  
11 below, the convergence of discharged electrons  $e$  achieved by the geometry of emitter  
12 202 represents a significant improvement over known cathodes wherein electrons are  
13 discharged along substantially divergent paths.

14 Because the majority of electrons discharged from emitter 202 are discharged in  
15 the primary beam direction, and not along divergent paths as is typically the case with  
16 known cathodes, relatively little is required in the way of structure to control or direct the  
17 emitted electrons. Accordingly, the dimensions of slot 208 (see Figure 2B) through  
18 which the discharged electrons  $e$  pass, can be made relatively greater than would  
19 otherwise be possible, with the important consequence that relatively more of the  
20 electrons discharged from emitter 202 reach focal spot location 106 of target anode 104.  
21 Thus, it is a valuable feature of the geometry of emitter 202 that it facilitates a significant  
22 improvement in both the perveance and the efficiency of integral cathode 200.  
23 Furthermore, because the emission and focusing operations of integral cathode 200 are  
24

1 performed by the same part, the construction and assembly of integral cathode 200 is  
2 greatly simplified.

3 With continuing reference to Figure 3, another valuable feature of integral  
4 cathode 200 relates to the diameter of the electron beam produced thereby. In particular,  
5 because emitter 202 naturally tends to discharge electrons in the primary beam direction,  
6 the diameter  $d$  of the electron beam thus produced is relatively smaller than that produced  
7 by known devices where discharged electrons travel along divergent paths and thus tend  
8 to produce relatively large diameter electron beams. As a consequence of its relatively  
9 small diameter, an electron beam produced by integral cathode 200 produces a relatively  
10 small focal spot on focal spot location 106 of target anode 104, and thereby facilitates a  
11 significant improvement in the quality of diagnostic images produced by the x-ray  
12 device.

13 Furthermore, because the size of the focal spot produced by integral cathode 200  
14 is relatively smaller than that produced by known cathodes, that focal spot is substantially  
15 less sensitive to changes in anode to cathode spacing. Specifically, known cathodes tend  
16 to produce relatively large diameter electron beams. And as noted earlier, the distance  
17 between the cathode and the target anode may vary during operation of the x-ray device  
18 so that as the distance between the emitter and target increases, for example, the diameter  
19 of the electron beam becomes unacceptably large. However, because the beam produced  
20 by the present invention is relatively small in diameter, changes in anode to cathode  
21 spacing have no material impact on the electron beam diameter or focal spot size.  
22 Similarly, the focal spot produced by the present invention is not materially impaired by  
23 changes in beam current.

1 Finally, note that the electron beam produced by emitter 202 can be manipulated  
2 by an alternative embodiment of support cartridge 204. In particular, a portion of support  
3 cartridge 204 is partially metallized, or otherwise rendered electrically conductive, so that  
4 application of a voltage to the metallized portion allows support cartridge 204 to be used  
5 to move the electron beam, shape the focal spot, change the size of the focal spot, change  
6 the position of the focal spot, and/or otherwise manipulate the electron beam and focal  
7 spot. In a preferred embodiment, retaining arms 204A would be metallized and have a  
8 voltage applied thereto so as to provide one or more of the aforementioned  
9 functionalities. It will be appreciated that the applied voltage may be varied as necessary  
10 to achieve a desired effect on the electron beam and/or the focal spot.

11 The present invention may be embodied in other specific forms without departing  
12 from its spirit or essential characteristics. The described embodiments are to be  
13 considered in all respects only as illustrative and not restrictive. The scope of the  
14 invention is, therefore, indicated by the appended claims rather than by the foregoing  
15 description. All changes which come within the meaning and range of equivalency of the  
16 claims are to be embraced within their scope.

17 What is claimed and desired to be secured by United States Letters Patent is:  
18  
19  
20  
21  
22  
23  
24

1. An x-ray device, comprising:

(a) a vacuum enclosure;

(b) an integral cathode disposed in said vacuum enclosure, said integral cathode including an emitter capable of discharging electrons, said emitter shaping an electron beam by causing at least some discharged electrons to converge at a focal spot;

(c) a power source connected to said emitter so that transmission of power from said power source to said emitter causes said emitter to discharge electrons; and

(d) a target anode disposed in said vacuum enclosure and having a target surface positioned to receive said electron beam generated by said emitter.

2. The x-ray device as recited in Claim 1, wherein said focal spot is located proximate to said target surface of said target anode.

3. The x-ray device as recited in Claim 1, further comprising a support cartridge, said support cartridge receiving said emitter and maintaining said emitter in a desired configuration.

4. The x-ray device as recited in Claim 3, wherein said support cartridge facilitates substantial electrical isolation of said integral cathode.

1           5.       In an x-ray tube comprising a vacuum enclosure having disposed therein a  
2 target anode with a target surface, an integral cathode disposed in the vacuum enclosure  
3 and being spaced apart from the target surface of the target anode, the integral cathode  
4 comprising:

- 5           (a)     an emitter capable of discharging electrons, said emitter shaping an  
6                   electron beam directed at the target surface of the target anode by causing  
7                   at least some discharged electrons to converge at a focal spot; and  
8           (b)     a support cartridge, said support cartridge providing structural support for  
9                   said emitter.

10  
11           6.       The integral cathode as recited in Claim 5, wherein said focal spot is  
12 proximate to the target surface of the target anode.

13  
14           7.       The integral cathode as recited in Claim 5, wherein said emitter is received  
15 in said support cartridge, said support cartridge causing said emitter to assume a desired  
16 configuration when said emitter is fully received in said support cartridge, and said  
17 support cartridge maintaining said emitter in said desired configuration.

18  
19           8.       The integral cathode as recited in Claim 5, wherein said desired  
20 configuration comprises an emitter cross-section substantially in the shape of an arc so  
21 that a concave side of said emitter is directed towards the target surface of the target  
22 anode.

1           9.     The integral cathode as recited in Claim 5, wherein said emitter is  
2 substantially composed of a refractory metal.

3           10.    The integral cathode as recited in Claim 5, wherein said emitter is  
4 composed of a combination of tungsten and rhenium.

5  
6           11.    The integral cathode as recited in Claim 5, wherein said support cartridge  
7 comprises at least one electrically conductive portion, said electron beam and said focal  
8 spot being selectively manipulated by application of a voltage to said at least one  
9 electrically conductive portion.

10  
11           12.    The integral cathode as recited in Claim 5, wherein said emitter comprises  
12 a plurality of subsidiary emitting portions.

13  
14           13.    The integral cathode as recited in Claim 12, wherein said plurality of  
15 subsidiary emitting portions are integral with each other.

16  
17           14.    The integral cathode as recited in Claim 5, wherein said emitter comprises  
18 at least two subsidiary emitting portions not parallel to each other, said at least two  
19 subsidiary emitting portions cooperating to facilitate said convergence of said at least  
20 some discharged electrons at said focal spot.

21  
22           15.    The integral cathode as recited in Claim 14, wherein said at least two  
23 subsidiary emitting portions are integral with each other.

24

1           16.     The integral cathode as recited in Claim 14, wherein said at least two  
2 subsidiary emitting portions are disposed in a substantially "V" shaped configuration.

3           17.     The integral cathode as recited in Claim 5, wherein said emitter is  
4 substantially bowl shaped.

5  
6           18.     The integral cathode as recited in Claim 5, wherein a plurality of cut out  
7 portions are defined in said emitter, said plurality of cutout portions collectively defining  
8 a an electrical current path.

9  
10          19.     The integral cathode as recited in Claim 5, wherein said support cartridge  
11 facilitates substantial electrical isolation of the integral cathode.

12  
13          20.     The integral cathode as recited in Claim 19, wherein said support cartridge  
14 is substantially composed of iron, said iron being cataphoretically coated so that at least a  
15 portion of said support cartridge is rendered electrically non-conductive.

16  
17          21.     The integral cathode as recited in Claim 19, wherein said support cartridge  
18 is electrically non-conductive.

19  
20          22.     The integral cathode as recited in Claim 21, wherein said support cartridge  
21 is substantially composed of ceramic.

1           23.    A method for manufacturing an integral cathode for an x-ray tube,  
2 comprising the steps of:

- 3           (a)    shaping emissive material so as to form an emitter;  
4           (b)    applying a force to said emitter so as to introduce a deformation in said  
5 emitter;  
6           (c)    maintaining said deformation in said emitter; and  
7           (d)    defining an electrical current path in said emitter.  
8

9           24.    The method as recited in Claim 23, wherein at least one of said steps is at  
10 least partially performed by a robot.  
11

12           25.    The method as recited in Claim 23, wherein said step of defining an  
13 electrical current path in said emitter occurs before said step of applying a force to said  
14 emitter.  
15

16           26.    The method as recited in Claim 23, wherein said step of defining an  
17 electrical current path in said emitter comprises removing at least one selected portion of  
18 said emitter.  
19

20           27.    The method as recited in Claim 26, wherein said step of removing at least  
21 one selected portion of said emitter is performed by a robotically controlled laser.  
22  
23  
24







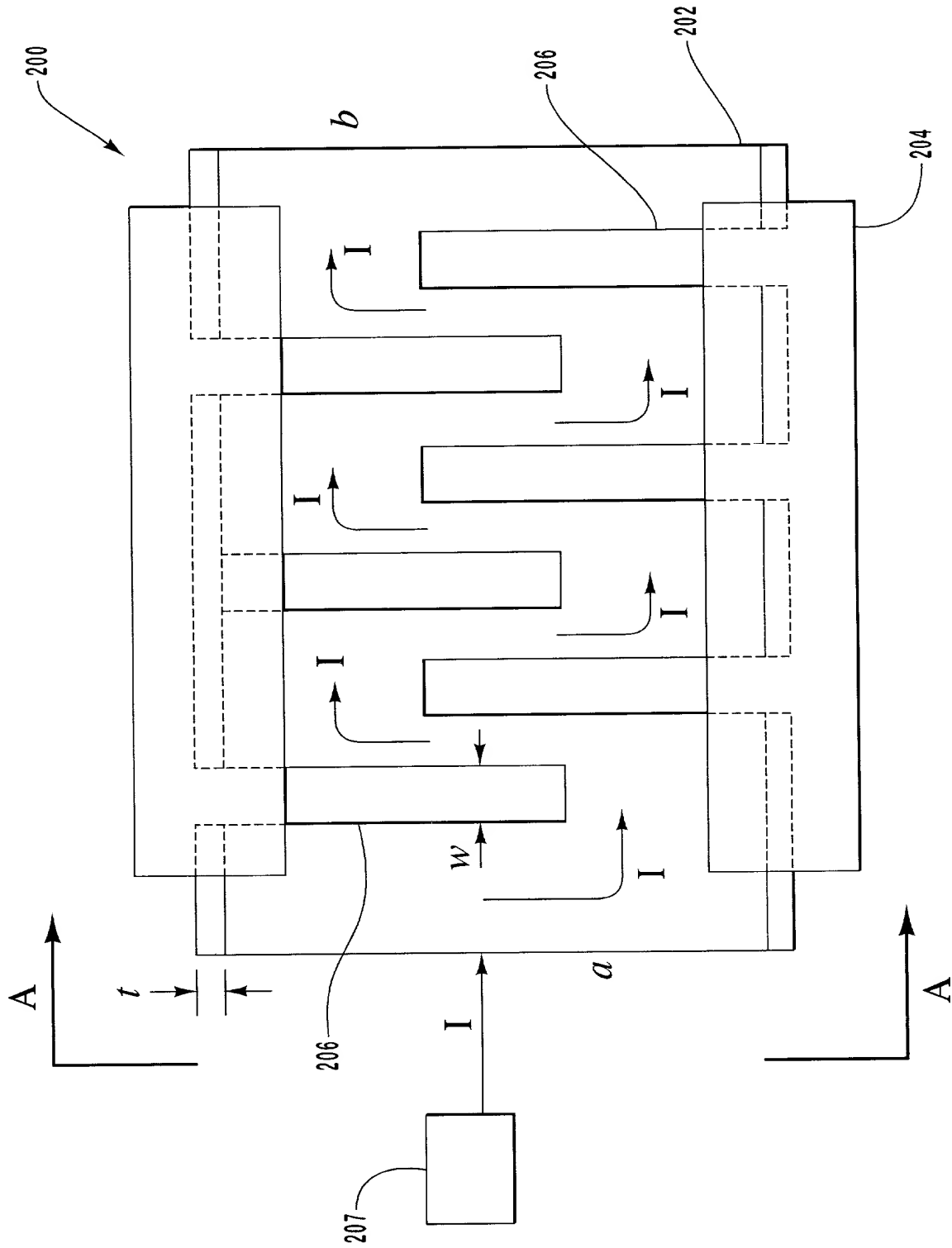


FIG. 2A

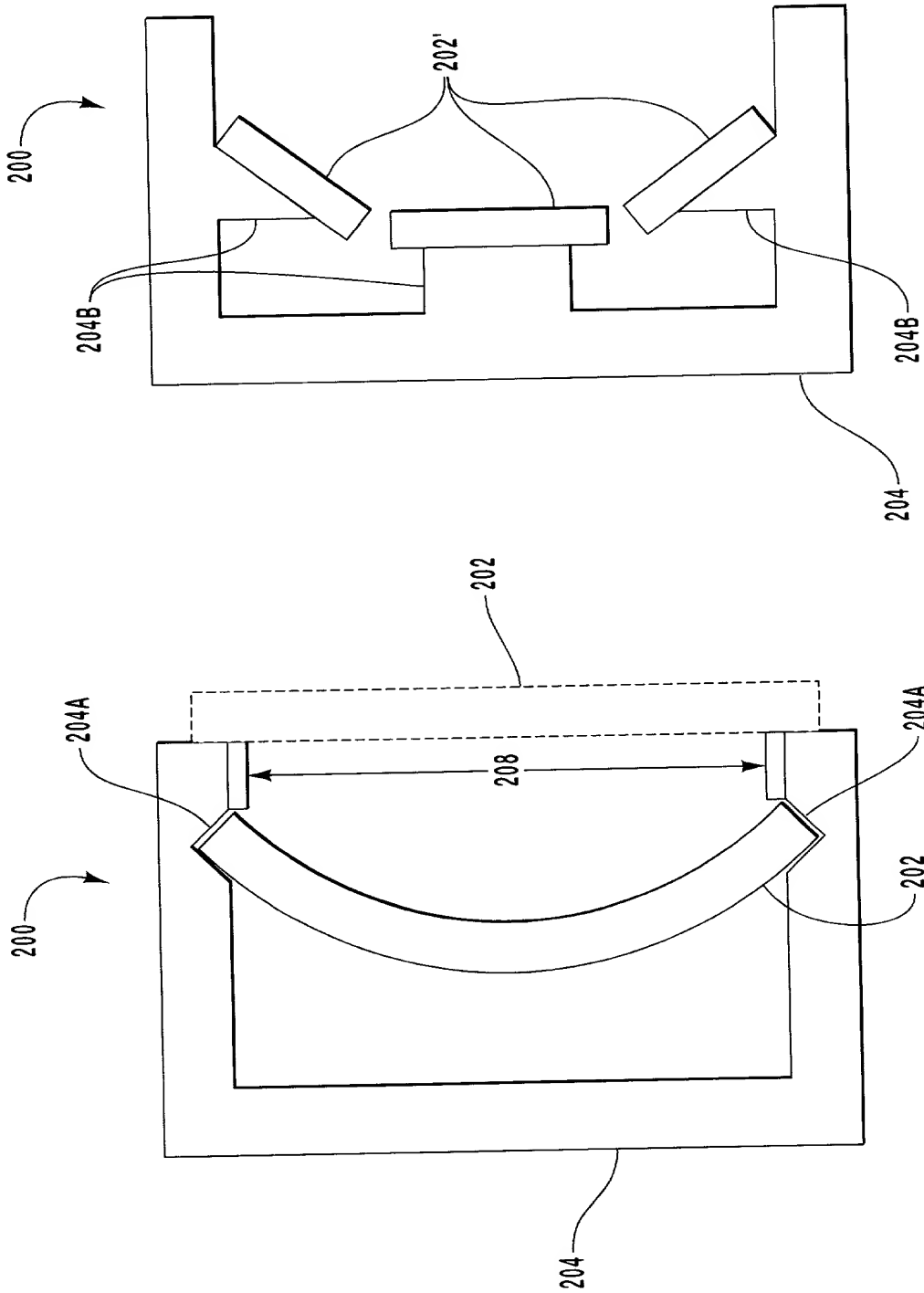


FIG. 2C

FIG. 2B

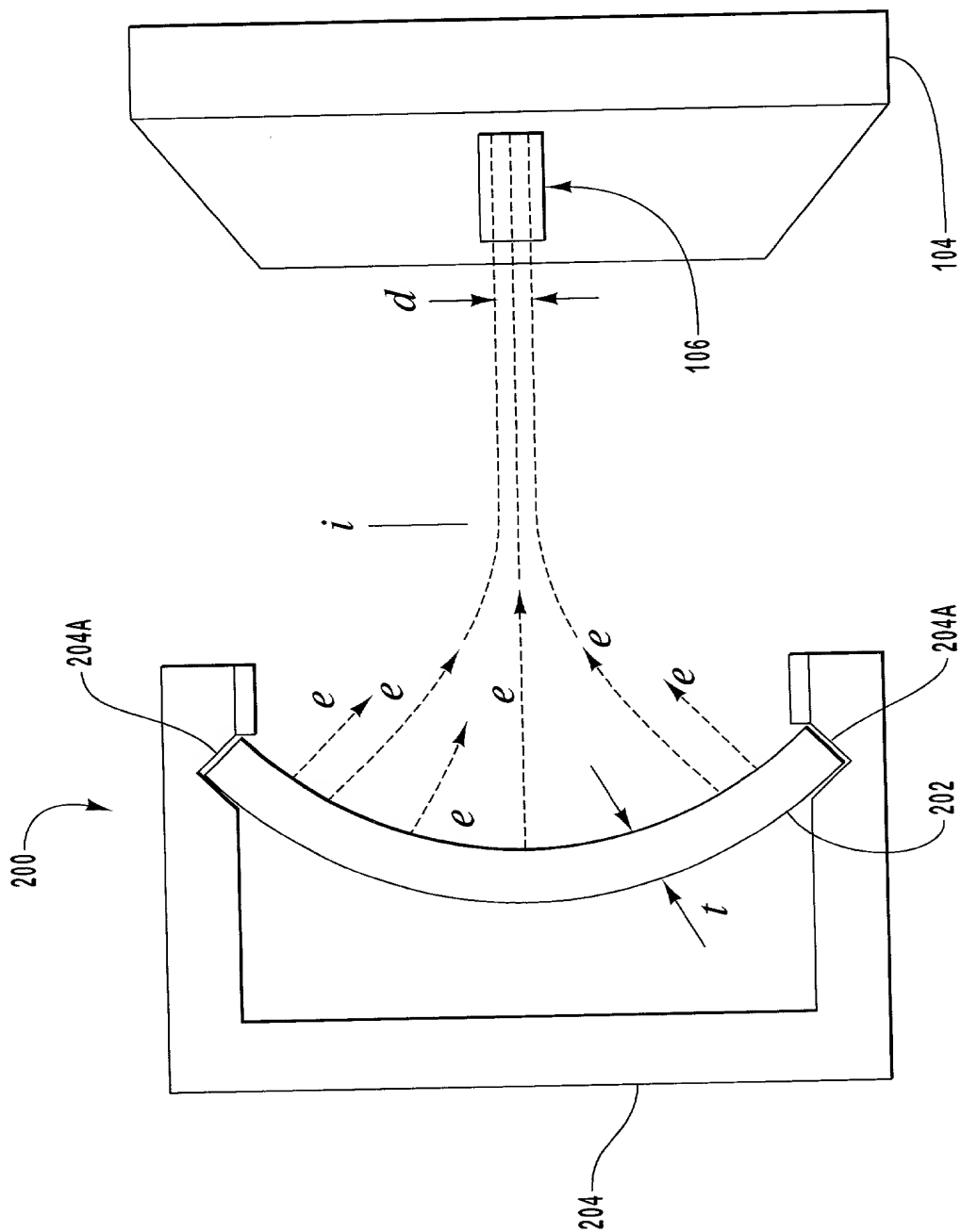


FIG. 3

DECLARATION, POWER OF ATTORNEY, AND PETITION

I, Dennie H. Runnoe declare: that I am a citizen of the United States of America; that my residence and post office address is 53 South 600 East, Salt Lake City, Utah 84102; that I verily believe I am the original, first, and sole inventor of the subject matter of the invention or discovery entitled INTEGRAL CATHODE for which a patent is sought and which is described and claimed in the specification attached hereto; that I have reviewed and understand the contents of the above-identified specification, including the claims; and that I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Section 1.56(a) of Title 37 of the Code of Federal Regulations.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the application or any patent issuing thereon.

I hereby appoint as my attorneys and/or patent agents: RICK D. NYDEGGER, Registration No. 28,651; DAVID O. SEELEY, Registration No. 30,148; JONATHAN W. RICHARDS, Registration No. 29,843; JOHN C. STRINGHAM, Registration No. 40,831; BRADLEY K. DeSANDRO, Registration No. 34,521; JOHN M. GUYNN, Registration No. 36,153; CHARLES L. ROBERTS, Registration No. 32,434; GREGORY M. TAYLOR, Registration No. 34,263; DANA

009720-13962960

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ERIC L. MASCHOFF  
WORKMAN, NYDEGGER & SEELEY  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111

Wherefore, I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, declaration, power of attorney, and this petition.

Signed at VMS, SLC, this 7 day of  
August 2000.

Inventor:



Dennis H. Runnoe  
53 South 600 East  
Salt Lake City, Utah 84102

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